

# Instruments for Public-Private Partnerships

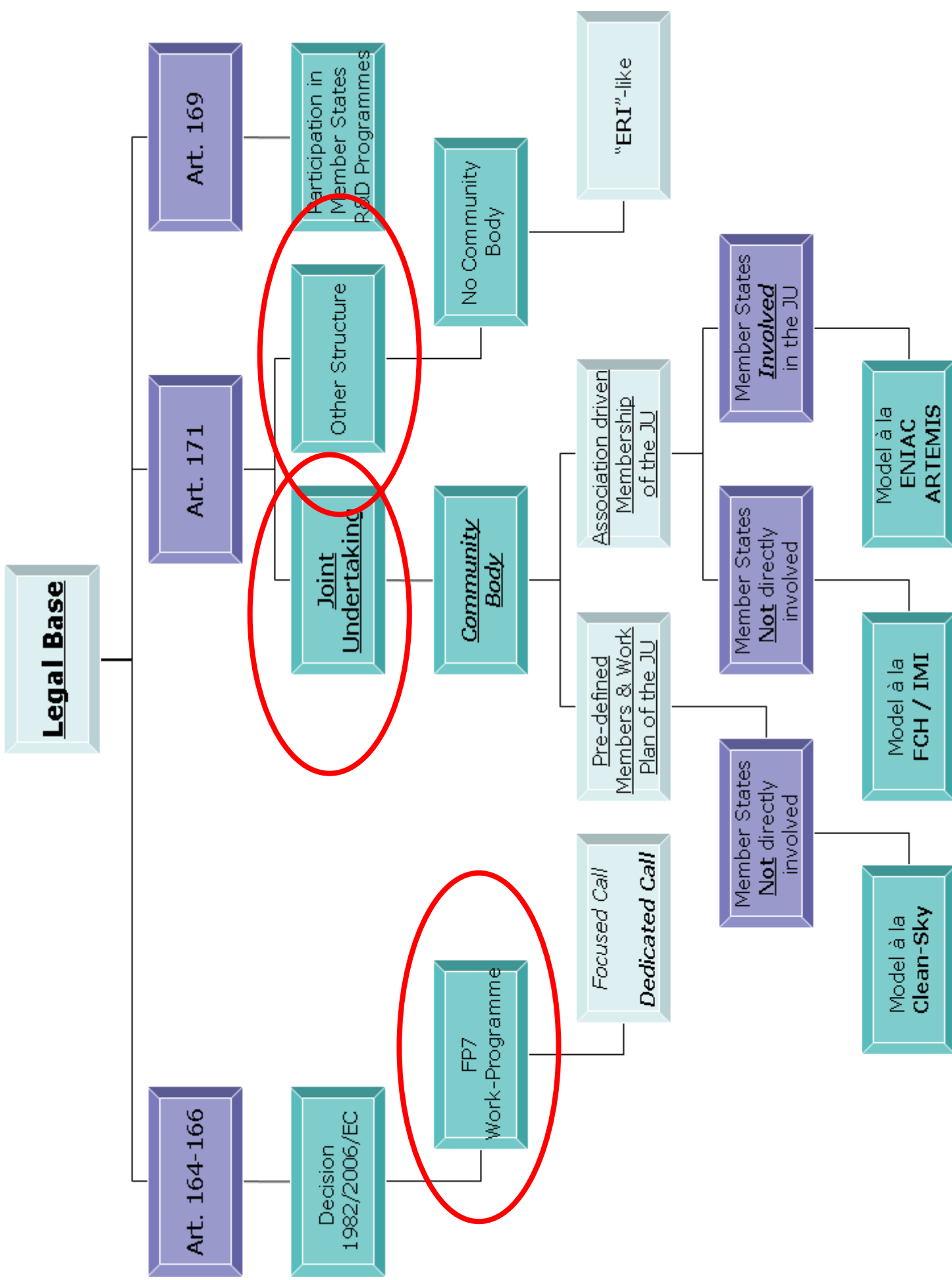
Conclusions of a European  
Commission internal analysis



# PPP Features Analysed

- **Governance** (statutes, leadership, voting, core/founding members)
- **Operational model** (management, planning, decision making, staffing, reporting)
- **Membership** (nature and number of core participants, role of SMEs, associated members, openness, accession/cessation)
- **Advisory groups** (Scientific community, EU member countries, ...)
- **Conflict of Interest**, confidentiality/transparency
- **Coordination** with ERA, FP, national programmes, ...
- **Work programme**, calls for proposal, evaluation & criteria
- **Legal entity**, status, legal base, seat, legal commitments prior to set-up?
- **Financial, funding** (public funding model, rules, private funding model, funding of projects, funding of the organisation), relation to EIB, RSFF, financial regulation, VAT...
- **Intellectual property**, exploitation,
- **Ethical issues** (organisation/processes, research/proposals)
- **Set-up** phase & time-frame to become operational





# Organisation Forms Analyzed

- **Framework Programme 7**

- No legal status for FP7 projects, but Council regulations, decisions and EC decisions provide an effective, stable and known framework for European research cooperation
- **A PPP can be implemented using the legal and operational structures provided by FP7 (e.g. European Green Cars initiative, European Energy-efficient Buildings and Factories of the Future initiative)**

- **European Research Infrastructures (ERI)**

- Organisations set up to establish and operate a research infrastructure.
- Legal framework provides only for the participation of countries and Intergovernmental Organisations, i.e. a public-public partnership with limitations in terms of membership and scope.

- **Joint Undertakings**

- Legal base for today's Joint Technology Initiatives (JTI's)
- **A PPP can be implemented with a dedicated legal and operational structure through a European Council regulation.**



# Conclusion



2011-2013

2014 and beyond

- Precise objective in work programme
- Expected impact
- Expected stakeholders and actors
- Size → Partnership, duration, funding
- Instrument for implementation
- Tailored eligibility & evaluation criteria

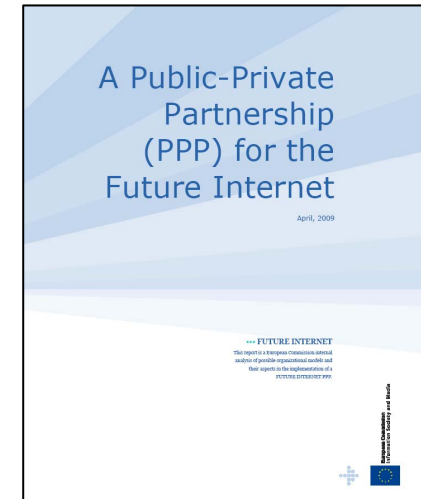
- **Project** type of action or **programme** type of action?
- Detail of ambition and technical objectives
- **Membership** (European Commission, EU Member Countries, Associations, individual organisations (companies, universities))
- Funding Model (income side & spending side)
- IPR Rules

# Want to Know the full story?

- [The Report](#)

([http://ec.europa.eu/information\\_society/activities/foi/library](http://ec.europa.eu/information_society/activities/foi/library))

- One stop-shop for:
  - PPP Option Analysis
  - Compilation of all relevant references



- Further reading:

- SEC(2007) 692 - on JTI's Background, State of play and Main Features
- SEC(2005) 800 - report on Report on ETP and JTI's to Boost Europe's Industrial Competitiveness

